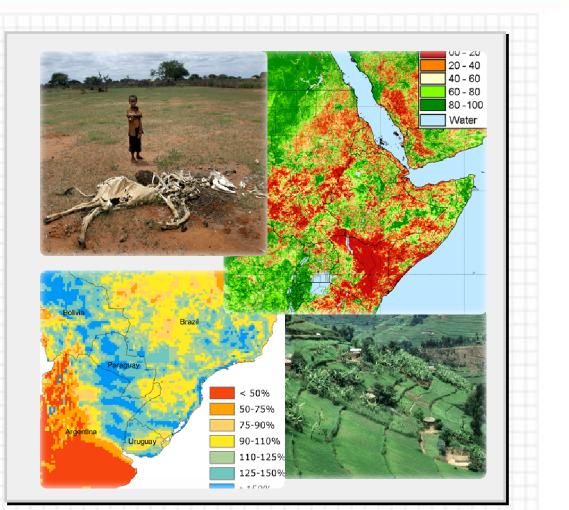
Lesson 3. METHODS AND ANALYSIS 1: RAINFALL AND NDVI ANOMALY MAPS

LEARNING OBJECTIVES

Lesson 3 Methods and Analysis: Rainfall and NDVI Anomaly Maps

At the end of the lesson, you will be able to:

- interpret maps of rainfall estimates and derived rainfall anomaly maps;
- understand NDVI and NDVI anomaly maps; and
- geographically localize dry spells and vegetation stress at specific times during the crop season.





Additional Info

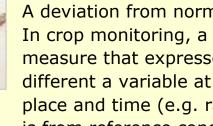


WHAT IS AN ANOMALY?



Let's start defining what an anomaly is:

Anomaly



A deviation from normal behaviour. In crop monitoring, a quantitative measure that expresses how different a variable at a certain place and time (e.g. rainfall, NDVI) is from reference conditions.

So, in crop monitoring the **deviation** relates to the difference from reference years or form multi-annual average conditions, which are considered as the norm.



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Additional Info

Lesson 3. METHODS AND ANALYSIS 1: RAINFALL AND NDVI ANOMALY MAPS

ANOMALY MAPS

Rainfall estimates (RFE) and vegetation indices are key data sources for crop monitoring. Anomaly maps can identify how and where **rainfall** and **vegetation** development are **different from normal**.

Below-normal conditions relate to **drought** (water stress) conditions. These may have implications for crop yields and pasture availability, potentially affecting human lives and livelihoods.

Above-normal conditions could imply good vegetation development, but in the case of rainfall could also relate to problems like flooding.



Therefore it is **good to look at more indicators**, i.e. not only at rainfall. Rainfall is a necessary condition for crop development, while vegetation indices observe actual vegetation growth.

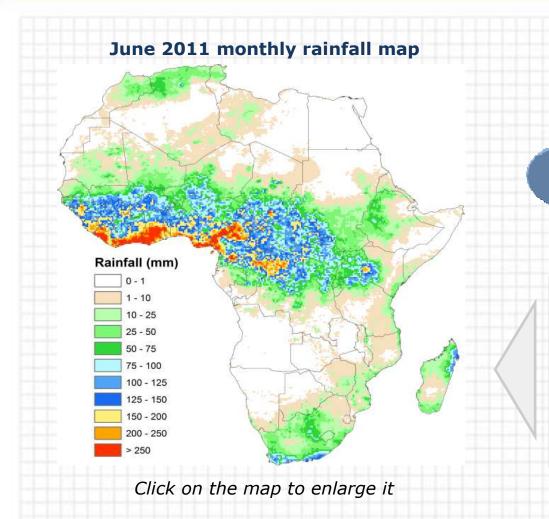
Anomaly maps give an indication about the location and extent of areas of potential concern regarding reduced crop or pasture availability. They thus form an important input to food security analysis and the planning of potential relief measures.



Additional Info Start Course



RAINFALL ESTIMATES



Rainfall estimates provide a spatial and temporal overview of the amount of rainfall based on a variety of input data.

Additional Info

In this module we will use the term **rainfall** as a synonym for **rainfall estimate**, although all data and maps presented are estimates.

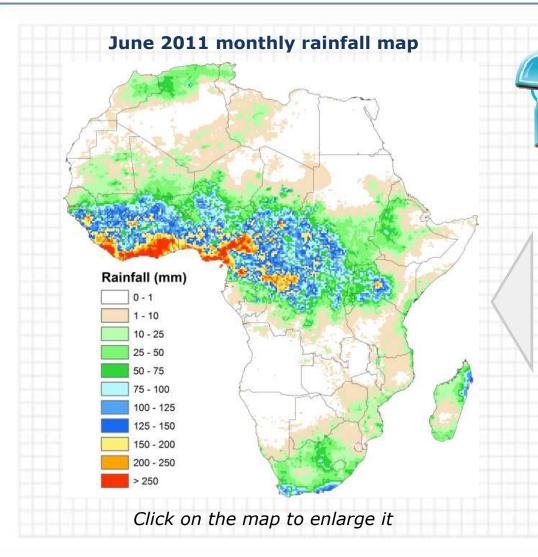
The figure shows a monthly rainfall map for June 2011 (in this case the rainfall estimate of the TRMM 3B43 product). It provides spatial information on the amount of rainfall received during June 2011.

(Colour scale taken from FEWS-NET)

X



RAINFALL ESTIMATES



Why could I be interested in rainfall anomalies?

You can observe that highest rainfall amounts were received along the coast of West Africa, and also western Kenya had a wet month.

Angola, Namibia, and Zambia hardly received any rainfall. However, can you say that there was a drought in those countries? The answer is no, unless we have a reference level to compare these rainfall amounts to.

A number of drought definitions exists, but most refer to below-average conditions. Hence in this case a **June reference level is needed**.



Start Course

Additional Info

Additional Info

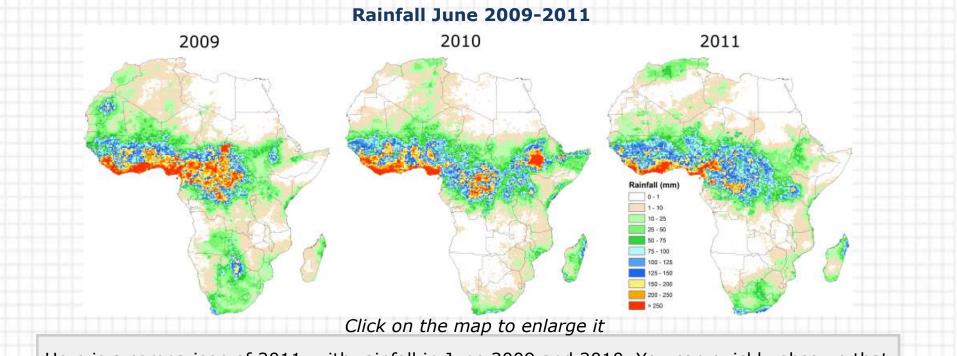
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Lesson 3. METHODS AND ANALYSIS 1: RAINFALL AND NDVI ANOMALY MAPS

COMPARING WITH HISTORICAL REFERENCE

Reference rainfall can be obtained from data of previous years. You could simply compare your June 2011 map with June rainfall maps of other years.



Here is a comparison of 2011 with rainfall in June 2009 and 2010. You can quickly observe that the coast of West Africa generally receives high quantities of rain in June. At the same time other parts are always dry in this month (such as Egypt). Careful visual inspection also allows finding differences. For example wet conditions are apparent in Botswana and west Sudan (2009), in western Ethiopia (2010) and in northern Algeria (2011).

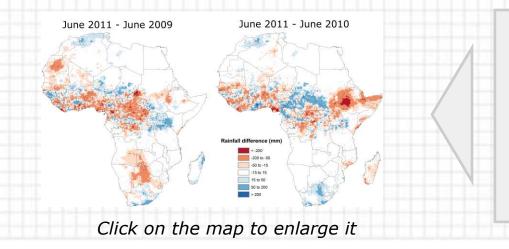


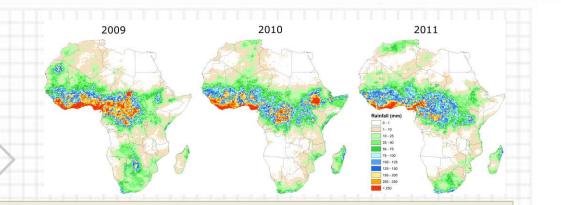
ANOMALIES BASED ON A REFERENCE YEAR

Comparing two or more rainfall maps requires careful visual inspection. You can facilitate this process by presenting the information of two maps in one.

Let's consider again the June rainfall maps.

You can subtract the two images from each other, i.e. calculate for each <u>pixel</u>:





Difference = rainfall (June2011) - rainfall (June2010)

Difference values below 0 imply that rainfall in June 2011 was less than in June 2010 (i.e. drier conditions). Note that **different reference years can give very different results**.

The best reference year depends largely on the analyst and whether he wants to compare to dry, average, or wet years. Of course for large regions (such as Africa) the same year could be wet in one part and dry in others.



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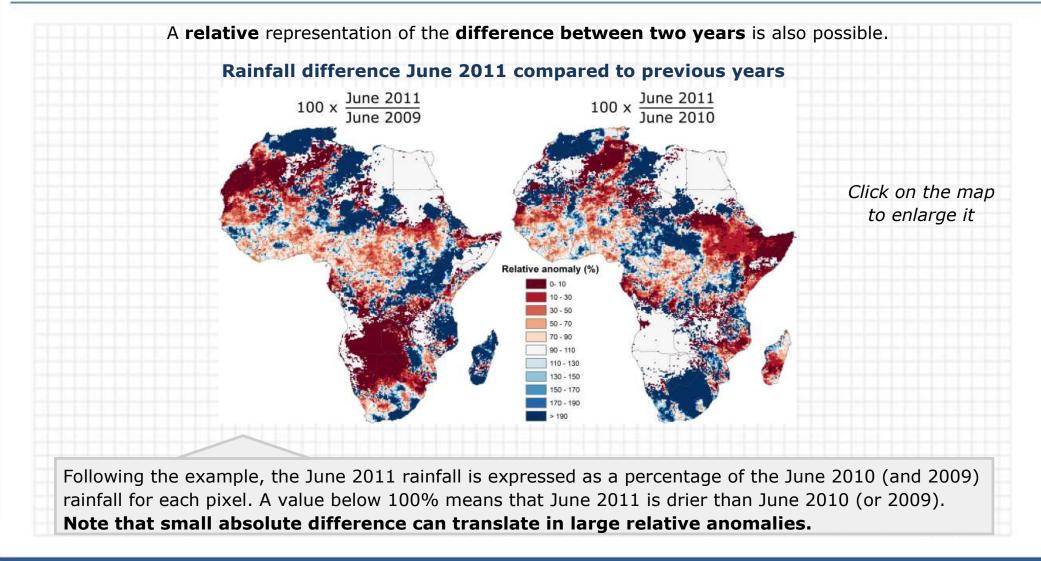
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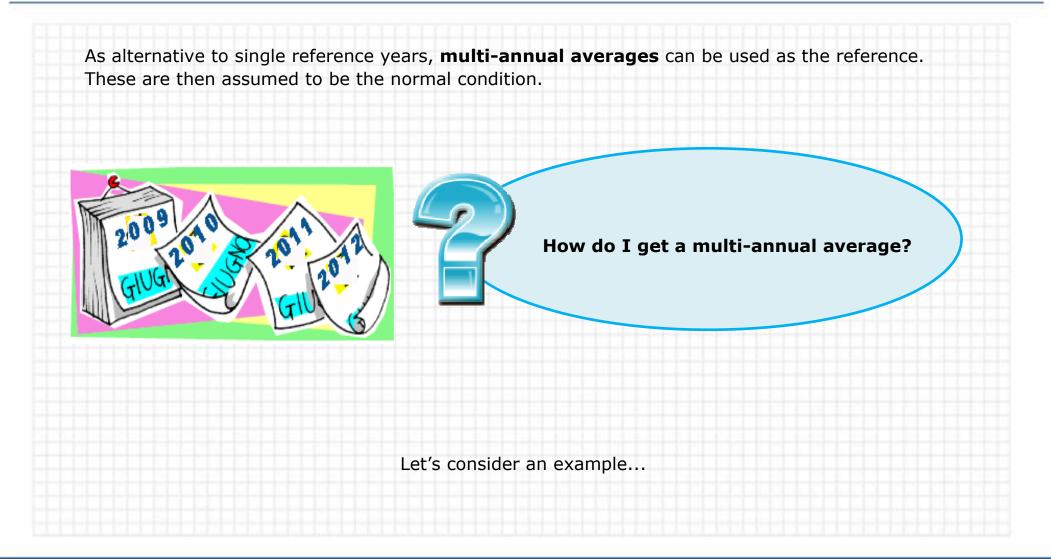
Lesson 3. METHODS AND ANALYSIS 1: RAINFALL AND NDVI ANOMALY MAPS

ANOMALIES BASED ON A REFERENCE YEAR





MULTI-ANNUAL AVERAGE CONDITIONS





Additional Info

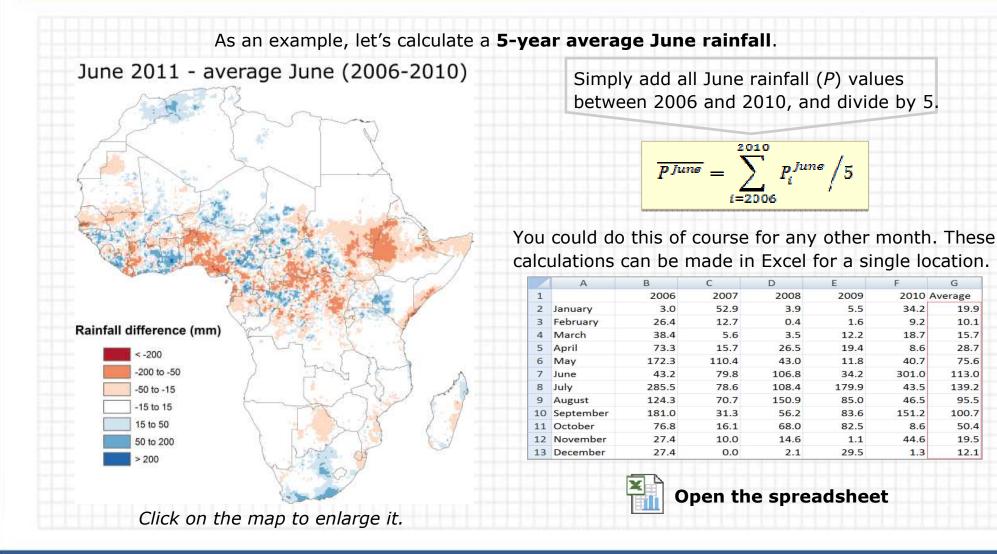


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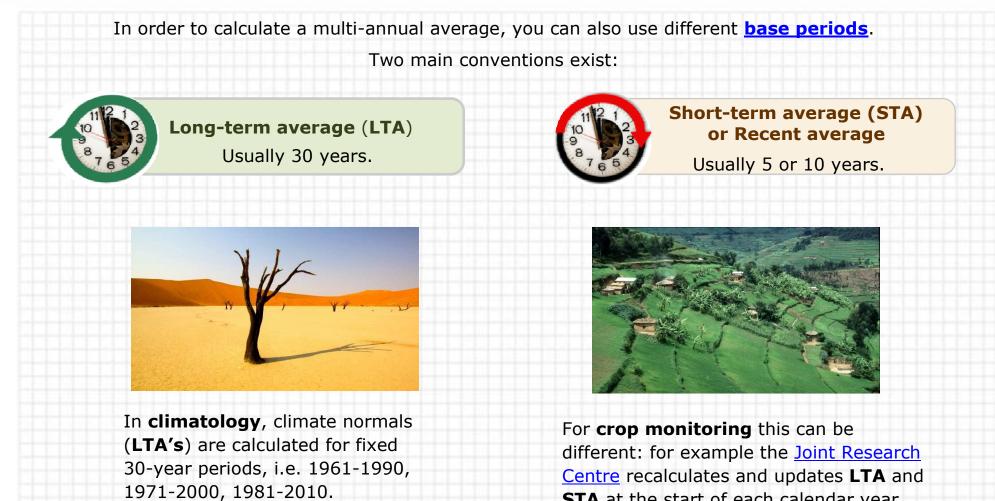
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MULTI-ANNUAL AVERAGE CONDITIONS





MULTI-ANNUAL AVERAGE CONDITIONS

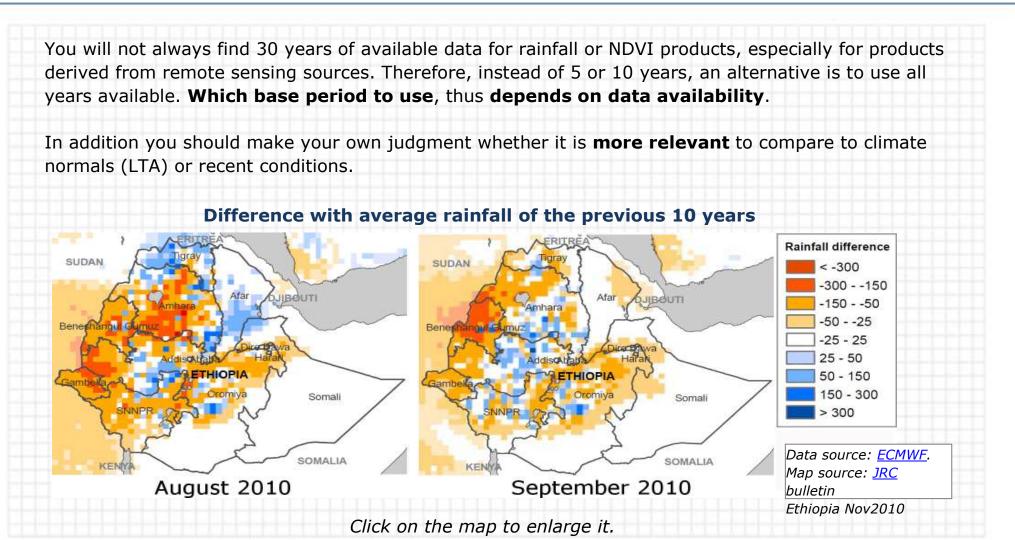




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MULTI-ANNUAL AVERAGE CONDITIONS





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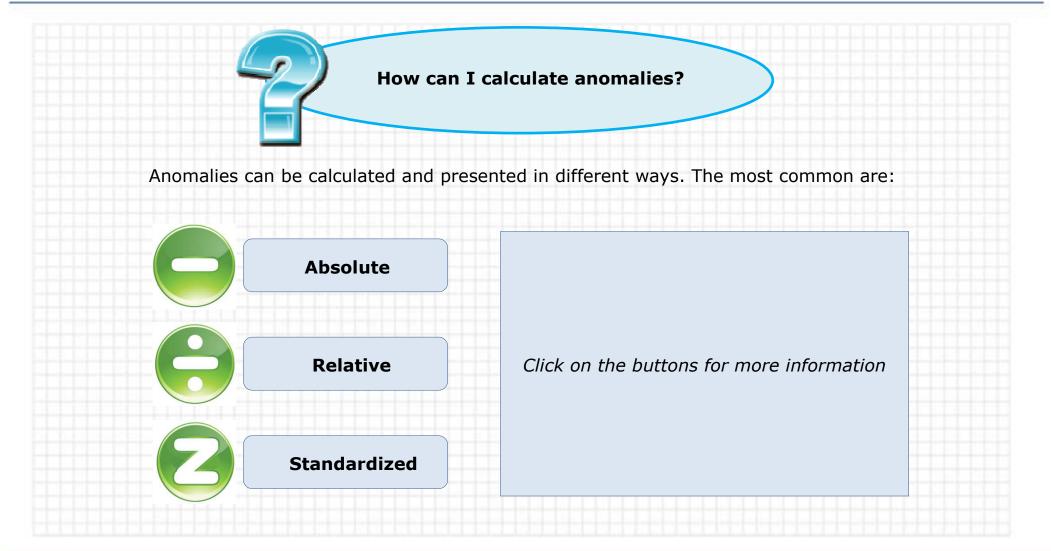


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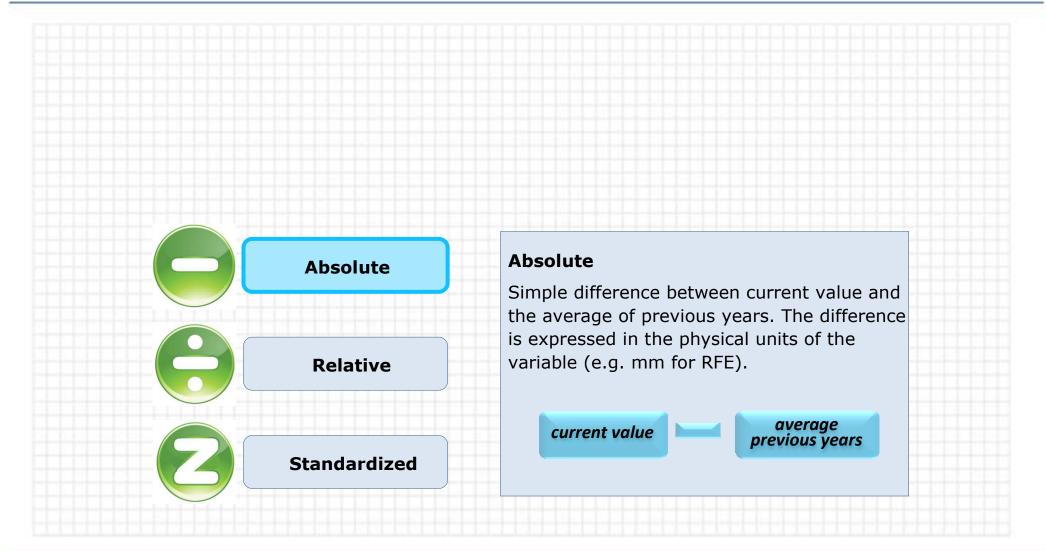
Lesson 3. METHODS AND ANALYSIS 1: RAINFALL AND NDVI ANOMALY MAPS

MULTI-ANNUAL AVERAGE – HOW TO CALCULATE ANOMALIES





MULTI-ANNUAL AVERAGE – HOW TO CALCULATE ANOMALIES





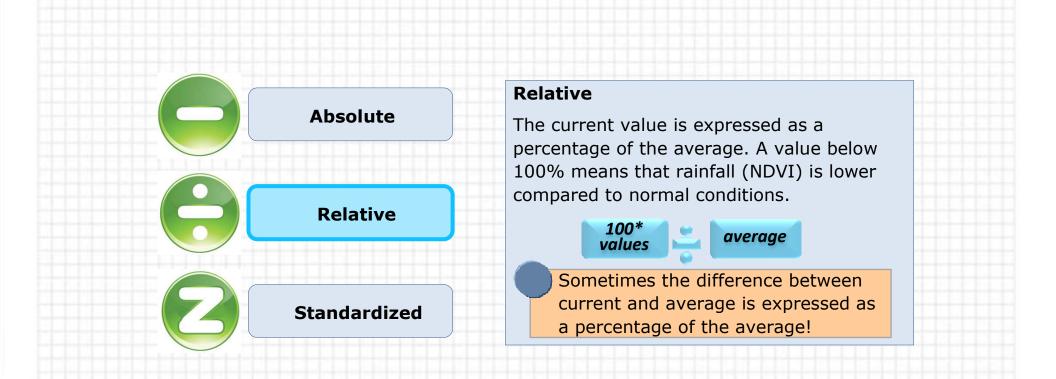
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MULTI-ANNUAL AVERAGE – HOW TO CALCULATE ANOMALIES



Additional Info

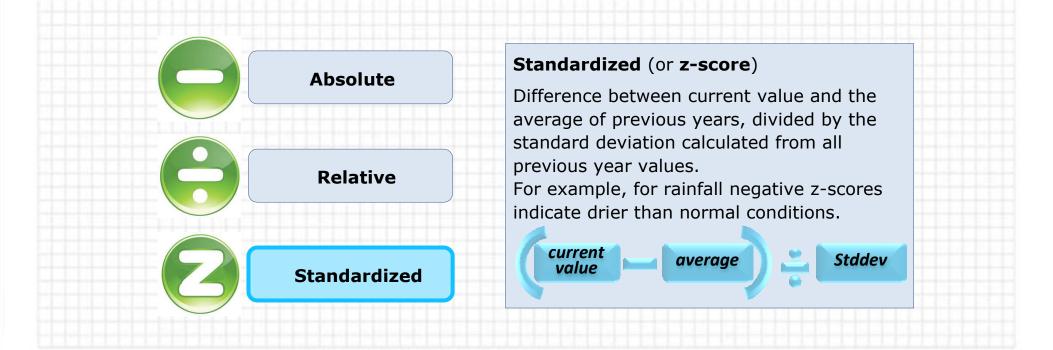




MULTI-ANNUAL AVERAGE – HOW TO CALCULATE ANOMALIES



Additional Info





Additional Info

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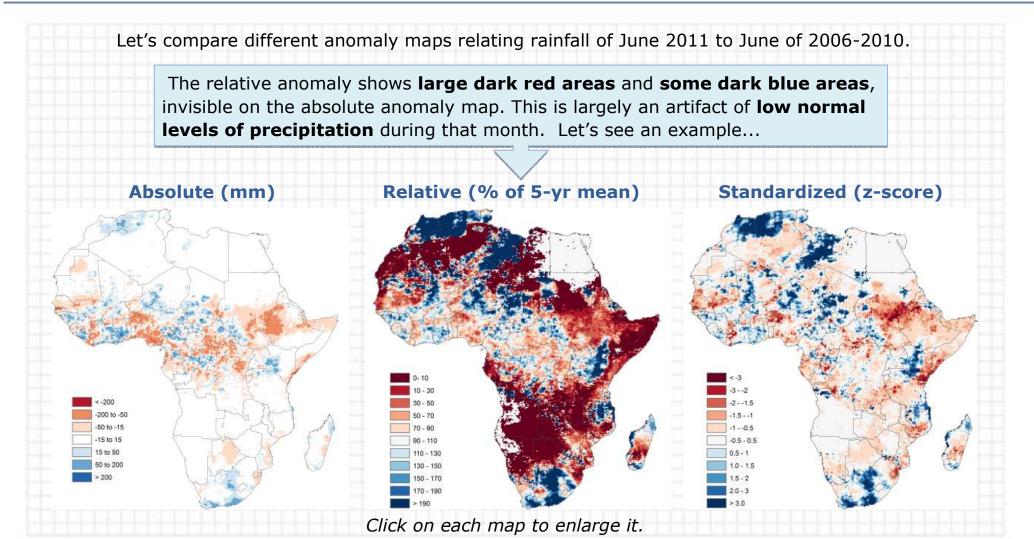
Lesson 3. METHODS AND ANALYSIS 1: RAINFALL AND NDVI ANOMALY MAPS

MULTI-ANNUAL AVERAGE – HOW TO CALCULATE ANOMALIES

	Another common name for standardized anomaly is z-score.	
	O Yes	
	O No	



MULTI-ANNUAL AVERAGE – HOW TO CALCULATE ANOMALIES



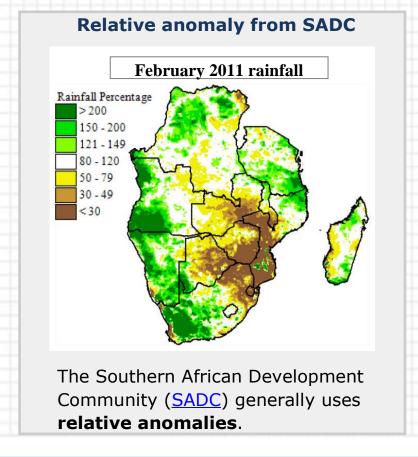


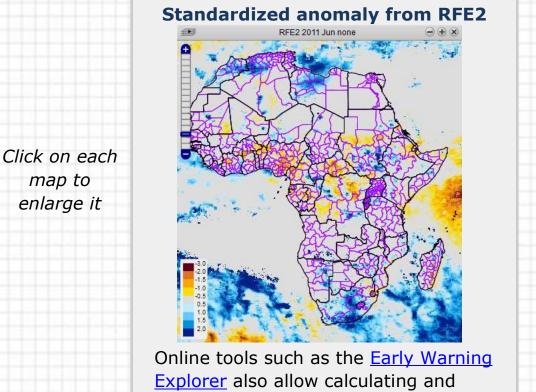
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MULTI-ANNUAL AVERAGE – HOW TO CALCULATE ANOMALIES

Most organizations consistently use one type of anomaly in agro-meteorological and food security bulletins. For example, <u>JRC FOODSEC</u> and <u>FEWS-NET</u> (Famine Early Warning Systems Network) mostly present **absolute anomalies** (for vegetation indices).





mapping **standardized anomalies**.



Additional Info Start Course

Lesson 3. METHODS AND ANALYSIS 1: RAINFALL AND NDVI ANOMALY MAPS

MULTI-ANNUAL AVERAGE – HOW TO CALCULATE ANOMALIES

The following table summarizes the characteristics of each anomaly and highlight the respective advantages and disadvantages.

Anomaly	Unit	Expresses	Advantages 🛖	Disadvantages 🖊
Absolute	mm	Absolute difference between current and normal.	Easy to interpret.	Not apparent how anomaly relates to normal rainfall.
Relative	%	Current as percentage of normal.	Easy to interpret.	Gives extreme results in areas that normally receive little rainfall during specific month.
Standardized	-	Number of standard deviations current is above/below normal level.	Takes into account the variability between years.	Interpretation is a bit more complex (unitless).

Additional Info





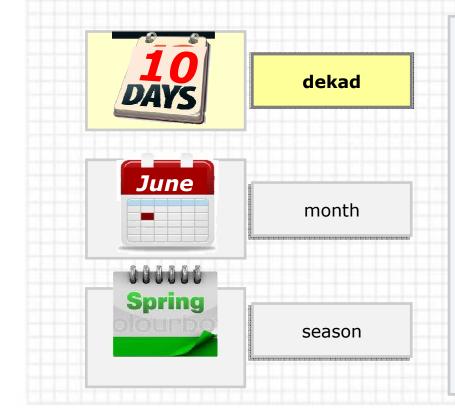
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RAINFALL ANOMALIES: DEKAD, MONTH, SEASON

Rainfall anomaly maps can be made for different time periods. **The most common integration periods** are:



Dekad = 10 days . A 10-day time step is widely used in agro-meteorology and crop monitoring.

In practice **three periods per month** are used (for day 1-10, 11-20, and 21- end of month), where the 3rd dekad of the month can range from 8 to 11 days.

Dekadal data give a good account of current conditions. However, rainfall during one dekad says little about the longer term conditions. Therefore ideally a 10-day anomaly map is presented together with neighbouring 10-day periods, or to show up-to-date data on continuing trends (e.g. present dekad after a month with known droughts).

Not to be confused with *decade* (=decennium) which indicates a period of 10 years.

View an example



Additional Info

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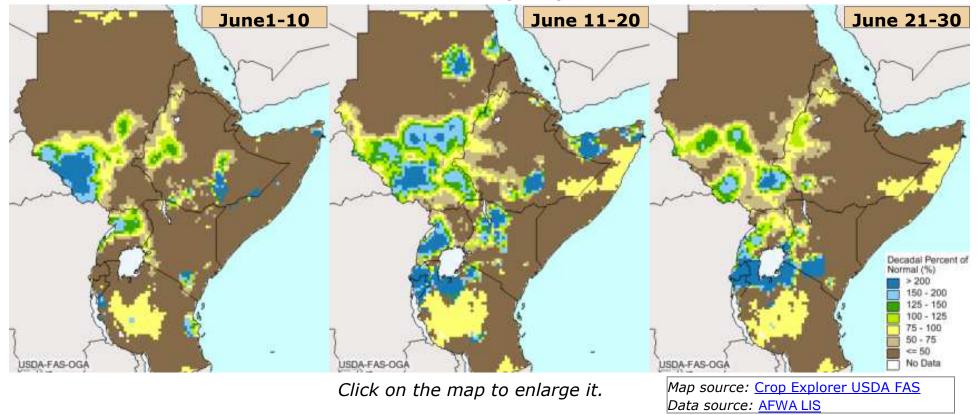
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Popup Window

Dekadal anomaly maps

This map shows three dekadal anomaly maps for June 2011. Some areas (e.g. South Somalia, Eastern Kenya) persistently show below-average rainfall.

Dekadal relative anomaly maps East Africa



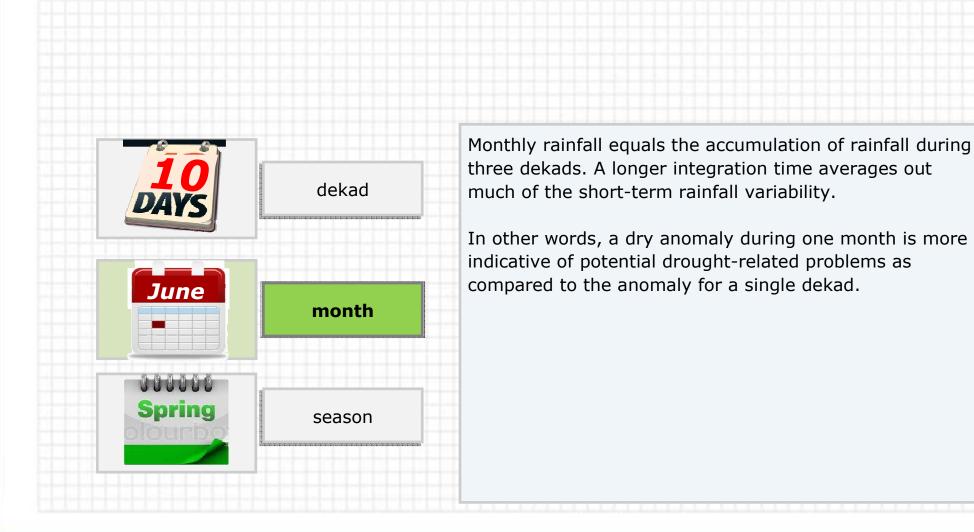


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RAINFALL ANOMALIES: DEKAD, MONTH, SEASON





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RAINFALL ANOMALIES: DEKAD, MONTH, SEASON

June month	10 DAYS	dekad
Spring		
		season

Seasonal rainfall is the accumulation of rainfall during multiple dekads or months. One dry month can often be compensated for by a wet month, and crop production may still attain good levels. However, below-average rainfall during the season is strongly linked to below-average crop productivity for water-limited production systems.

Two options exist for anomaly mapping:

•entire season, can only be completed at the end of the growing season; and

•cumulative rainfall for the season until present, includes the most recent rainfall data to give the status of the ongoing season.





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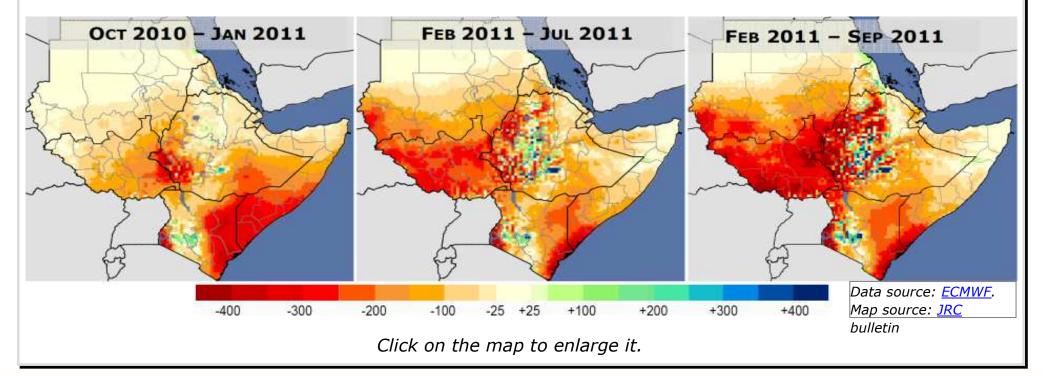
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Popup Window

Seasonal rainfall anomaly map

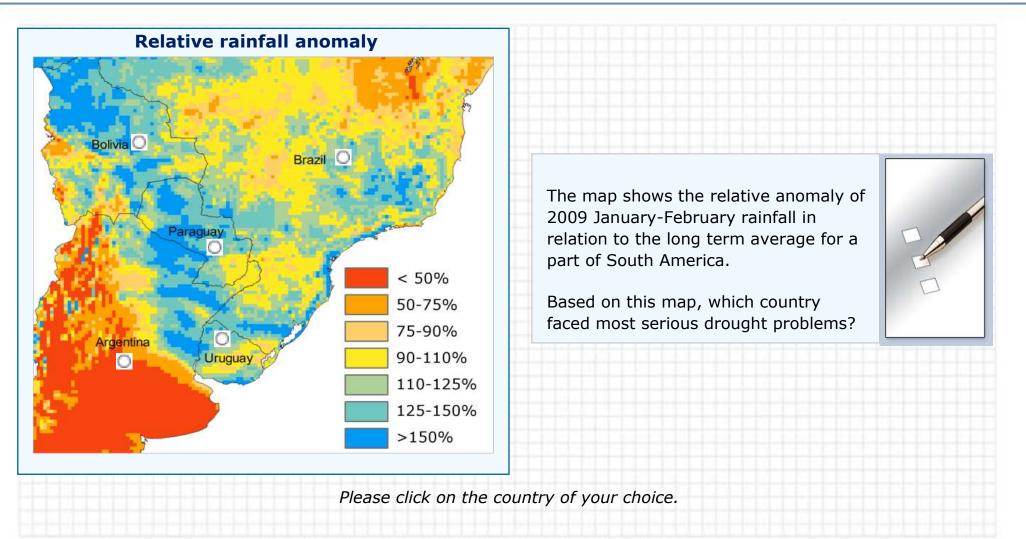
The maps show seasonal rainfall anomalies (mm). The middle map (July bulletin) was updated for a longer period in the right-hand map (September bulletin) when more data were available.

The choice of start and end of season should normally depend on the crop calendar. For large regions (such as dekadal example map) crop calendars can vary much within the region, making the choice for start and end date somewhat subjective (i.e. dependent on dominant crop calendar in the region).



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RAINFALL ANOMALIES - EXERCISE

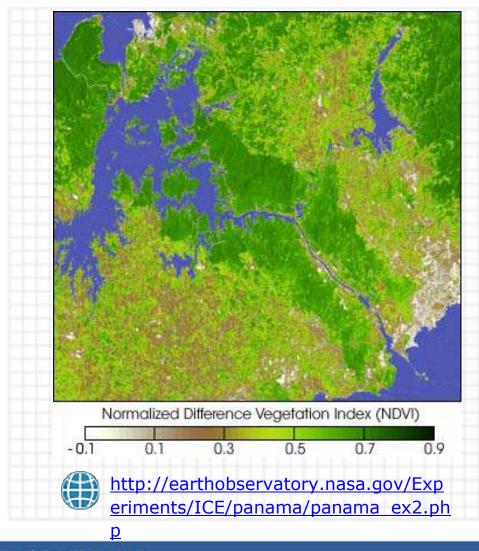




Additional Info



NDVI MAPS



The Normalized Difference Vegetation Index (**NDVI**) is a **measure of vegetation performance** and depends on the climatic conditions prior to the date of observation.

In semi-arid systems NDVI strongly **relates to the rainfall** received.

High values of NDVI indicate a high amount of life green vegetation.





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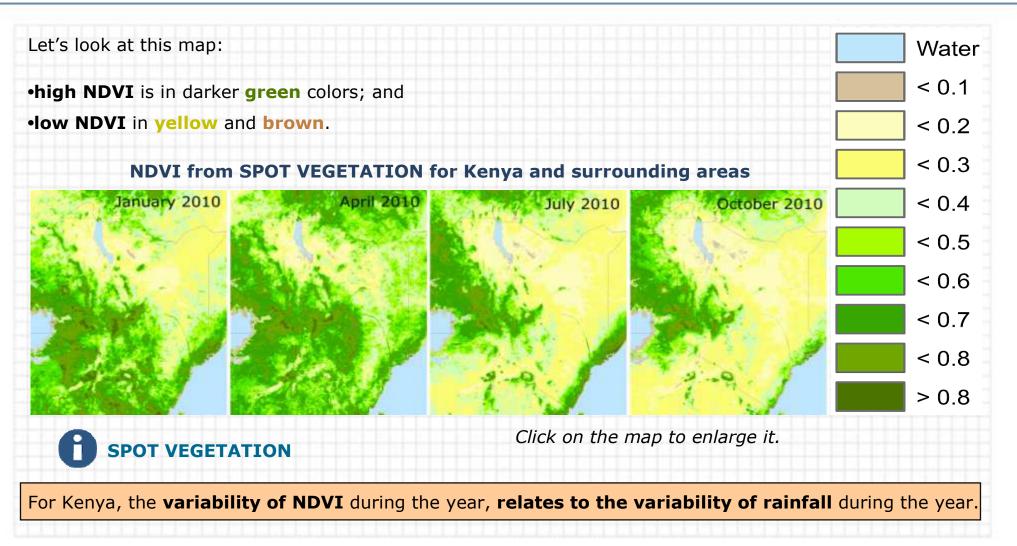


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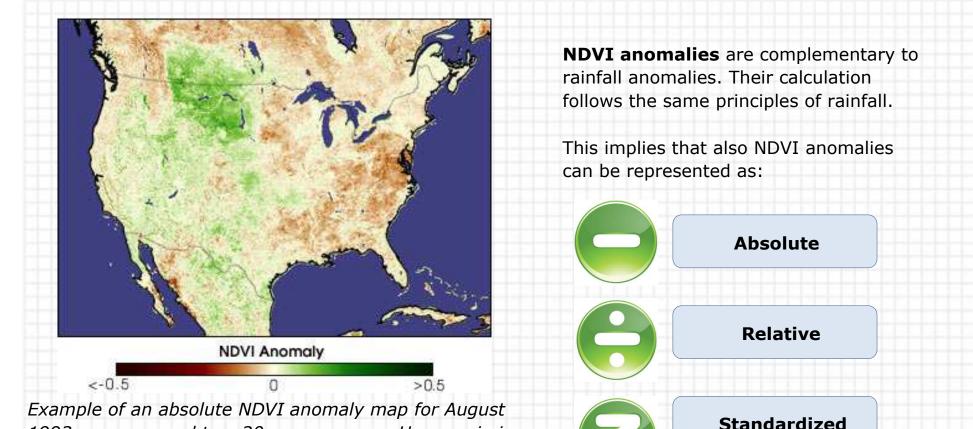
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NDVI MAPS





NDVI ANOMALIES



Example of an absolute NDVI anomaly map for August 1993, as compared to a 20-year average. Heavy rain in the Northern Great Plains resulted in positive anomalies (green), while drought conditions in the Eastern U.S. gave negative anomalies (red).



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nfo Start Course

Lesson 3. METHODS AND ANALYSIS 1: RAINFALL AND NDVI ANOMALY MAPS

NDVI VS RAINFALL ANOMALIES

The table below presents some of the main differences between rainfall and NDVI products.



What are the differences between rainfall and NDVI?

Products	Rainfall	NDVI	
Construction	Accumulation over time. Selected.		
Gives indication of	Precipitation received.	Amount of green vegetation.	
Anomaly maps commonly used	Dekad, month, season.	Dekad, month (<i>although</i> <i>seasonal measures such as</i> <i>cumulated or maximum NDVI</i> <i>could be derived</i>).	



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Lesson 3. METHODS AND ANALYSIS 1: RAINFALL AND NDVI ANOMALY MAPS

NDVI difference Rainfall difference igra <-0.125 SUDAN < -300 < -0.050 SUDAN -300 - -150 ± DJIBO Absolute rainfall ∖far DJIBOUTI > +0.050 -150 - -50 > +0.125 and NDVI anomaly -50 - -25 Benechanoul G Beneshangut Gumuz maps for Ethiopia for -25 - 25 August 2010 25 - 50 compared to a 10-ETHIOPIA 50 - 150 Gambe year average. Oromiva 150 - 300 Somali Somali > 300 SOMALIA KENN Popup Window

NDVI VS RAINFALL ANOMALIES

Clear **rainfall deficits** (**red** colours) are apparent in most regions (except Tigray and Afar). **NDVI anomalies** also show below-average conditions in large parts of Ethiopia (**red** colours). To a certain extent this matches with the rainfall anomalies.

Still, differences can be observed (for example: Tigray is below-average for NDVI and above-average for rainfall).

This stresses that vegetation condition not only depends on rainfall during the same period, but also rainfall of prior periods affect the vegetation condition.



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Lesson 3. METHODS AND ANALYSIS 1: RAINFALL AND NDVI ANOMALY MAPS

NDVI ANOMALIES: REFERENCE LEVEL

Long term averages usually span 30-years. For NDVI only one source currently reaches 30-years (<u>NOAA</u> <u>AVHRR</u>). However, higher quality NDVI products currently exist with among others an improved spatial resolution. **The base period is thus limited by data availability**.

Spatial comparison of NDVI is mostly done based on the following references:

• one year, i.e. the same period (dekad, month) of another year:



- a **previous** year;
- a *good* year, when high crop yields were obtained, i.e. in semi-arid systems this is usually a wet year;
- a **bad** year, when crop yields were low, i.e. dry years;



• five or ten years, as for rainfall this can be called STA (Short Term Average); and

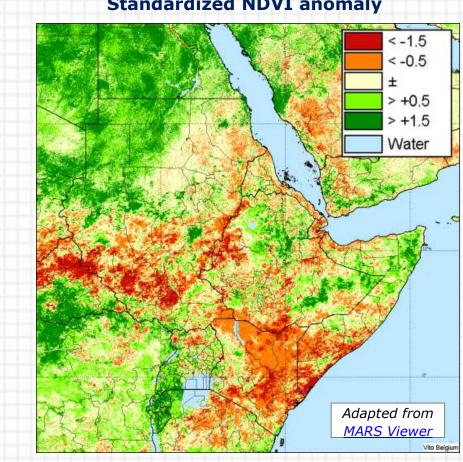


• **available archive**, for example the SPOT VEGETATION NDVI series starts in April 1998. To compare 2012 NDVI values, 1998-2013 could thus be used (15-16 years).

Let's see an example of the standardized NDVI anomaly (z-score)...

Lesson 3. METHODS AND ANALYSIS 1: RAINFALL AND NDVI ANOMALY MAPS

NDVI ANOMALIES: REFERENCE LEVEL



Standardized NDVI anomaly

The map shows a **z-score** for June 2011, compared to the 1998-2010 June period (using SPOT VEGETATION), for the Horn of Africa.

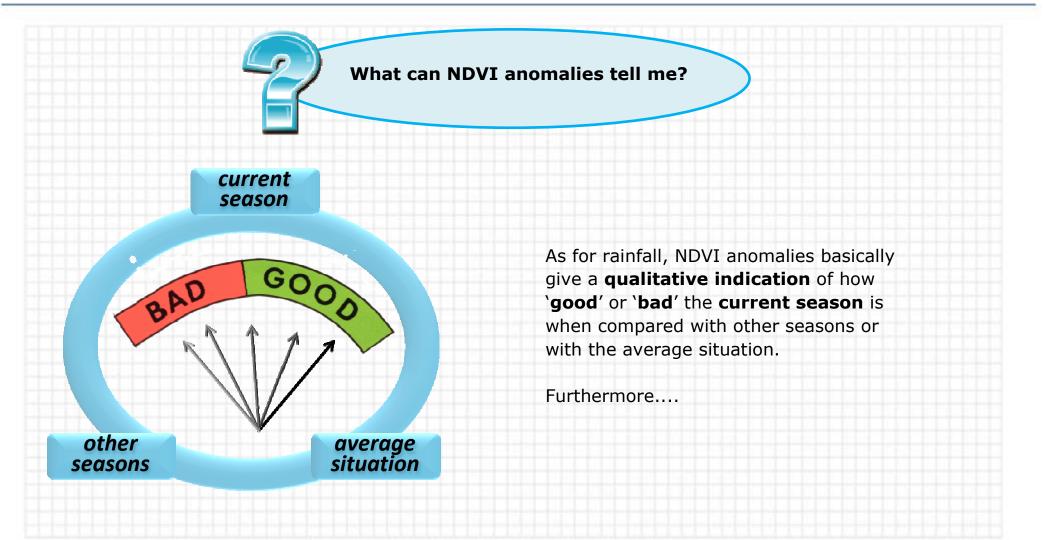
Vegetation activity was poor in large parts of Kenya, Somalia, and South Sudan (red colours).



Additional Info



NDVI ANOMALIES: INFORMATION CONTENT

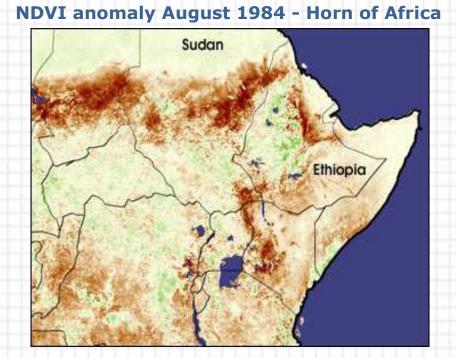




Additional Info



NDVI ANOMALIES: INFORMATION CONTENT



Dark red indicates the most severe drought, light yellow areas are normal, and green areas have denser than normal vegetation. NDVI anomalies highlight **deviations** from normal vegetation development (either positive or negative).

Quality of NDVI anomaly maps

Large areas with **negative NDVI anomalies** are of concern, especially if:

 these anomalies are persistent, i.e. continue for many dekads/months;

these anomalies occur in a critical moment of crop development (for example when grain is formed); and
people living in the region largely depend on vegetation productivity (pasture, crops) for their livelihoods.

NB: Persistent cloud or haze may affect NDVI and resulting NDVI anomalies (particularly in the humid tropics.

NDVI anomalies can thus be used as an indirect evidence for <u>food security</u>.



Additional Info Start Course



Additional Info

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Lesson 3. METHODS AND ANALYSIS 1: RAINFALL AND NDVI ANOMALY MAPS

NDVI ANOMALIES: OTHER ANOMALY MEASURES

Besides the absolute, relative and standardized anomalies, two other measures are commonly used to **compare current NDVI to historical values**.

Vegetation Condition Index (VCI)

The VCI linearly scales a pixel's NDVI value between the historic minimum and maximum value for that dekad/month. Its values range from 0 to 100. VCI values below 35 are usually considered to indicate drought conditions.



The VPI is similar to the VCI. Instead of using only the pixel's historic minimum and maximum value, the VPI uses the full range of historic dekadal/monthly NDVI values. The VPI also ranges from 0 to 100 with low values indicating poor vegetation growth (drought)."

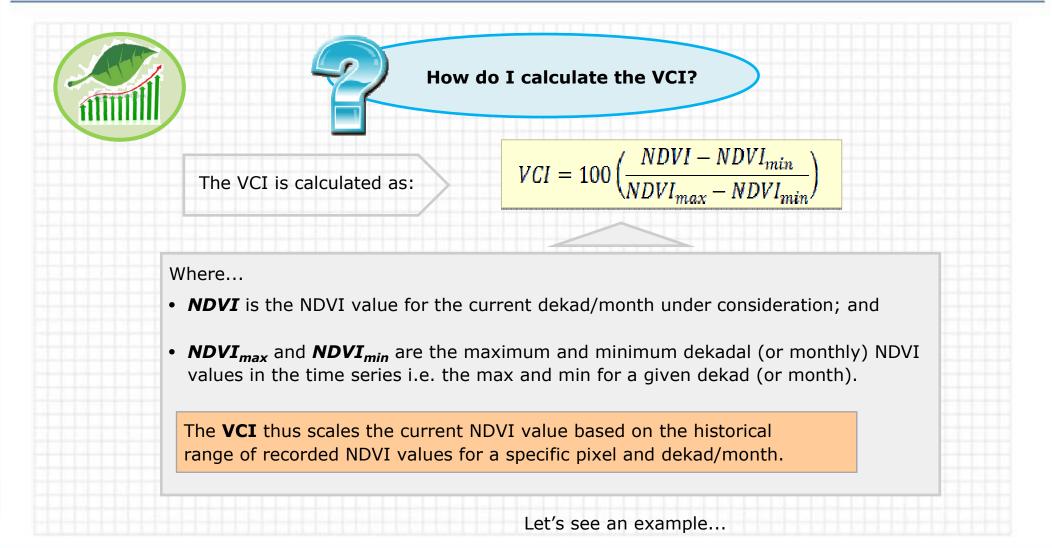
These two measures consider the **historic range** instead of historic average and/or standard deviation. Let's see how to calculate them....



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NDVI ANOMALIES: VEGETATION CONDITION INDEX (VCI)





NDVI ANOMALIES: VEGETATION CONDITION INDEX (VCI)

Example: Calculation of the VCI

Let's consider the NDVI anomaly based on June 2011 and 1998-2010 June values, for the Horn of Africa. The calculation shows NDVI values for one pixel for the first dekad of June.

1998	1999	2000	2001	2002	2003	2004
0.21	0.34	0.19	0.22	0.30	0.26	0.20
2005	2006	2007	2008	2009	2010	2011
0.36	0.24	0.28	0.18	0.25	0.26	0.23
Minimum (1998-2010)			0.18			
Maximum (1998-2010)			0.36			
VCI June1-10 2011			28			



Open the spreadsheet

Minimum and *maximum* are derived from the 1998-2010 values. The **VCI for the first dekad** of June for that pixel is then:

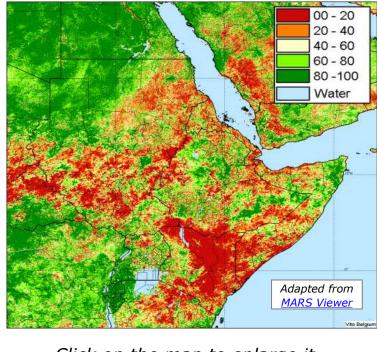
100*(0.23-0.18)/(0.36-0.18) = 28%

This low value implies vegetation performs quite poor as compared to the historic range of values.

A VCI of 50% would mean that NDVI is exactly between minimum and maximum.

VCI for June 2011 for the Horn of Africa

Additional Info



Click on the map to enlarge it.

X



Additional Info

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Lesson 3. METHODS AND ANALYSIS 1: RAINFALL AND NDVI ANOMALY MAPS

NDVI ANOMALIES: VEGETATION PRODUCTIVITY INDICATOR (VPI)



The VPI assesses the probability of getting a lower NDVI value than the current value. This probability is derived from the historic NDVI values for that pixel and dekad/month.

For example...



Compared to the historical value range, a **20% probability level** indicates:

•a 20% chance of getting a lower NDVI value; therefore

•an 80% chance of getting a higher NDVI value.

This implies **poor vegetation performance**.

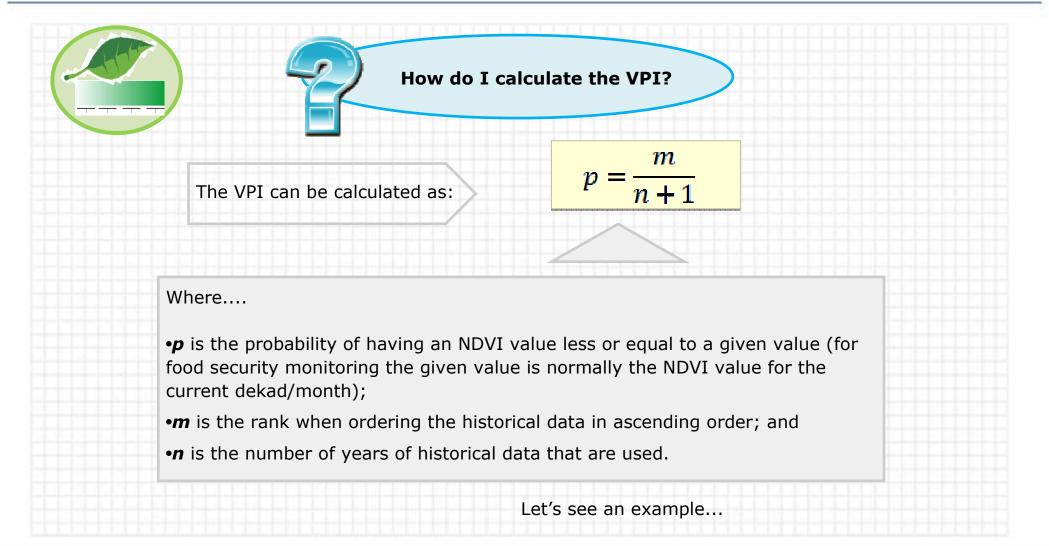


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Lesson 3. METHODS AND ANALYSIS 1: RAINFALL AND NDVI ANOMALY MAPS

NDVI ANOMALIES: VEGETATION PRODUCTIVITY INDICATOR (VPI)



Lesson 3. METHODS AND ANALYSIS 1: RAINFALL AND NDVI ANOMALY MAPS

NDVI ANOMALIES: VEGETATION PRODUCTIVITY INDICATOR (VPI)

VPI 2009-April (%) VPI 2008-April (%) 00 - 2020-40 40-60 60 - 80 80-100 Water VPI 2010-April (%) VPI 2011-April (%)

The four maps show the **VPI for April 2008, 2009, 2010, and 2011**. For the area indicated in the 2008 map by the square box (Eastern Ethiopia) indicate the years during which the vegetation development of April was best and worst.

	best	worst	
2008	0	0	
2009	0	0	F
2010	0	0	
2011	0	0	

Please select the answers of your choice. When you have finished, click on "**Check Answer**".



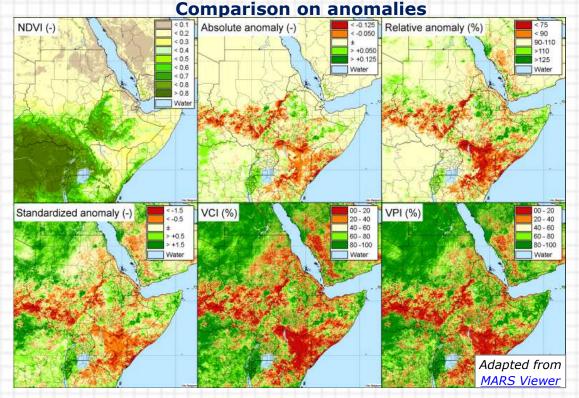
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VISUAL COMPARISON OF NDVI ANOMALIES

Let's now visually compare the different NDVI anomalies described so far, again using the June 2011 NDVI in relation to the June 1998-2010 NDVI for the Horn of Africa.

Which anomaly measure is presented often largely depends on personal preferences.



Click on the image to enlarge it.

A

 Strong anomalous situations are apparent in all maps and the main anomalies are well identified by all methods.

Additional Info

- The largest deviations between maps are found in areas that normally have low NDVI values during that time of the year (or little rainfall). <u>More...</u>
- For any map a red (or green) color can have different meanings, depending on the time and location. It is therefore wise not to draw conclusions based on only one anomaly map.
- Always be aware of what type of anomaly map you are looking at: this requires a careful inspection of the legend.

X



ANOMALY MAPPING AND LEGENDS

Map display always involves **making choices**. Let's see what are the main choices you need to take...



When creating an anomaly map display, the main choices to be made involve deciding about the following:

- Which data (rain, NDVI, others... dekad, month, season.... timing) do I consider?
- What reference level (one year, STA, LTA) do I set?
- Which anomaly type (absolute, relative, standardized, VHI...) do I use?
- How many classes do I show on the map?
- What are the class limits (i.e. cut-off values between classes)?
- What colors do I give each class?

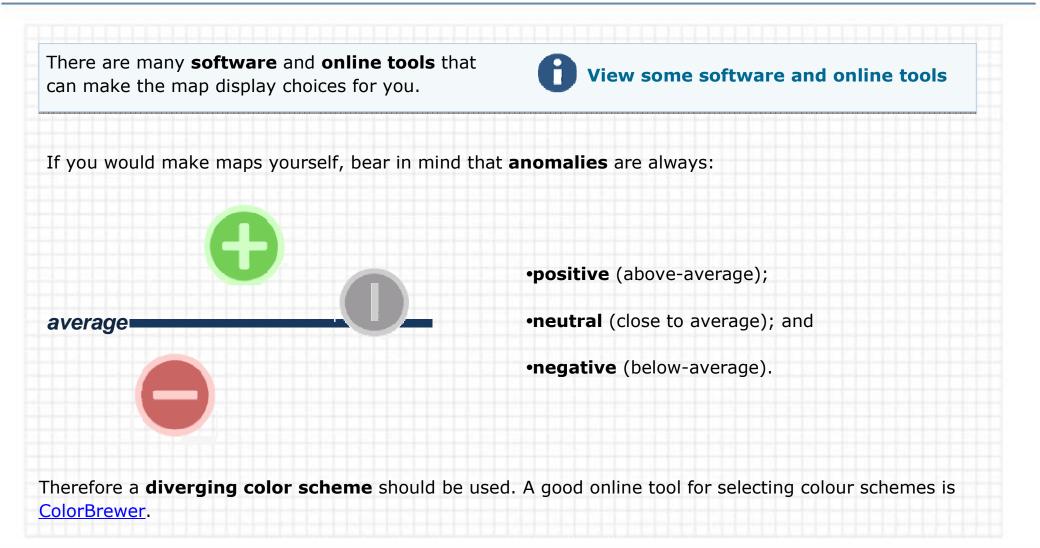


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ANOMALY MAPPING AND LEGENDS



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Additional Info



CROP MONITORING BULLETINS - EXAMPLE

This brief example focuses on the 2011 drought that struck a large part of the Horn of Africa. It concentrates on the *Gu* season (April-June) in Somalia.

Example of anomaly maps presented and interpreted in bulletins

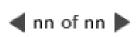


First let's look at early indications of the drought based on the <u>Regional Rain Watch from FEWS-</u> <u>NET, issued on 1 April 2011</u>, which presents an absolute rainfall anomaly map for the month of March 2011...

Additional Info



Click the forward arrow to see how the case study unfolds or click on the PDF icon to read it and print it



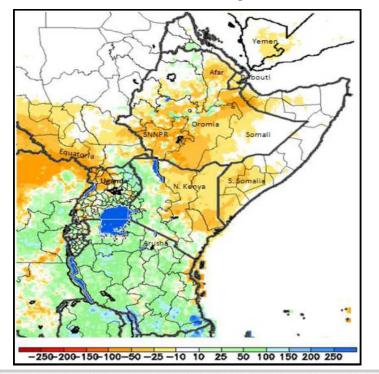
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CROP MONITORING BULLETINS - EXAMPLE

Example of anomaly maps presented and interpreted in bulletins

Absolute rainfall anomaly March 2011

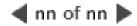


The bulletin describes that the main (*Gu*) season is yet to start in Somalia, but already deficits from 10-50mm are found across the country. Such deficits reduce soil moisture availability, hence delaying vegetation emergence.

Additional Info

Every 10 days (after a new 10-day RFE is ready) FEWS-NET presented an update of the Regional Rain Watch, allowing close monitoring of the season performance.

Click on the map to enlarge it.



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Additional Info

Start Course

Lesson 3. METHODS AND ANALYSIS 1: RAINFALL AND NDVI ANOMALY MAPS

SUMMARY

Anomalies compare current rainfall or NDVI to historical reference levels. They indicate below- or above-average rainfall or vegetation development.	
Historical reference levels can be a dekad , month , or season of a single year or the average condition of multiple years.	
Anomalies can be presented as absolute, relative, or standardized . In addition for NDVI two common additional anomaly measures exist: VCI (Vegetation Condition Index) and VPI (Vegetation Productivity Indicator).	
Although major anomalies will be detected by all measures, important differences exist between anomaly measures.	Flash File \Images\CWD\swf\Summary.j pg.swf



IF YOU WANT TO KNOW MORE



Online resources

JRC MARS Crop bulletins - http://mars.jrc.it/mars/Bulletins-Publications

Famine Early Warning Systems Network (FEWS-NET) Early Warning Explorer (EWX) -<u>http://earlywarning.usgs.gov/fews/ewxindex.php</u>

USDA Foreign Agricultural Service (FAS) Crop Explorer - http://www.pecad.fas.usda.gov/cropexplorer/

Southern African Development Community (SADC) Regional Early Warning System (REWS): <u>http://www.sadc.int/fanr/aims/rews/index.php</u>

VPI calculation in GMFS - http://www.gmfs.info/uk/publications/documents/GMFS S5 ProductSheet VPI.pdf

Color schemes - http://colorbrewer2.org/

http://www.ipcinfo.org/attachments/RemoteSensedData IPC JRC guidelines.pdf

Additional reading

Rojas, O., A. Vrieling, and F. Rembold. 2011. Assessing drought probability for agricultural areas in Africa with coarse resolution remote sensing imagery. Remote Sensing of Environment 115(2): 343-352.

Kogan, F. N. 1990. Remote sensing of weather impacts on vegetation in non-homogeneous areas. International Journal of Remote Sensing 11: 1405-1419.

Sannier, C. A. D., J. C. Taylor, W. Du Plessis, and K. Campbell. 1998. Real-time vegetation monitoring with NOAA-AVHRR in southern Africa for wildlife management and food security assessment. International Journal of Remote Sensing 19: 621-639.

Rembold, F., Atzberger, C., Savin, I. and Rojas, O. 2010 Using low resolution satellite imagery for crop monitoring and yield prediction at the regional scale. In "Remote Sensing Optical Observations of Vegetation Properties", pp. 81 – 113. ISBN: 978-81-308-0421-7



Additional Info